

**APPENDIX III**

[See rule 2(1)(c)]

**FORM NO. 1**

[See rule 4]

**Monthly Statement to be furnished by a stock exchange in respect of transactions in which client codes have been modified after registering in the system for the month of .....**

Sl. No.	Particulars of the stock exchange	
1.	Name	(refer Note 1)
2.	Address	(refer Note 2)
3.	Permanent Account Number	

4. Details of transaction in which client codes have been modified after registering in system are enclosed in a soft copy as annexure.

**DECLARATION**

I.....(name of the principal officer) having Permanent Account Number ..... in my capacity as ... of .... (name of stock exchange) hereby certify that all the particulars furnished above are correct and complete.

Place: .....

Signature of the Principal Officer of the Stock Exchange

Date: .....

Name:

Designation: