

## FORM NO. 37

[See rule 71]

## Certificate under section 152(5) of the Act for Patentees in receipt of royalty income

Part – A Basic Information		
<b>1.</b>	<b>Details of the Patentee</b>	
	<b>(i)</b>	<b>Name</b> <span style="float: right;"><i>(refer Note 1)</i></span>
	<b>(ii)</b>	<b>Address</b> <span style="float: right;"><i>(refer Note 2)</i></span>
	<b>(iii)</b>	<b>Permanent Account Number</b>
<b>2.</b>	<b>Tax year</b>	
Part - B		
<b>3.</b>	<b>Details of Patent</b>	
	<b>(i)</b>	<b>Registration Number</b>
	<b>(ii)</b>	<b>Date of registration</b> <span style="float: right;"><i>dd/mm/yyyy</i></span>
	<b>(iii)</b>	<b>Title</b>
	<b>(iv)</b>	<b>Location of the patent office where the patent register is maintained</b>
	<b>(v)</b>	<b>Whether the patent is in the nature of patent of addition</b> <i>(select one)</i> <span style="float: right;">1. Yes 2. No</span>
	<b>(vi)</b>	<b>If answer to (v) above is “Yes” then:</b>
	<b>(a)</b>	<b>title of original patent</b>
	<b>(b)</b>	<b>registration of original patent</b>
<b>4.</b>	<b>Details of the Patentee</b>	
	<b>(i)</b>	<b>Whether the patentee is the true and first inventor of the invention?</b> <i>(select one)</i> <span style="float: right;">1. Yes 2. No</span>
	<b>(ii)</b>	<b>Date on which the name of patentee was entered on the patent register?</b> <span style="float: right;"><i>dd/mm/yyyy</i></span>
	<b>(iii)</b>	<b>Whether the name of the patentee was revoked or excluded from the patent register in respect of patent mentioned at row 3?</b> <i>(select one)</i> <span style="float: right;">1. Yes 2. No</span>
	<b>(iv)</b>	<b>If reply to (iii) is yes, the date from which such revocation or exclusion took place?</b> <span style="float: right;"><i>dd/mm/yyyy</i></span>
<b>5.</b>	<b>(i)</b>	<b>Whether compulsory license has been issued by the Controller in respect of the patent mentioned at row 3?</b> <span style="float: right;">1. Yes 2. No</span>
	<b>(ii)</b>	<b>If yes, royalty income settled in terms of any compulsory license?</b>
<b>6.</b>	<b>Details of the person paying royalty income from patent</b>	
	<b>(i)</b>	<b>Name</b> <span style="float: right;"><i>(refer Note 1)</i></span>
	<b>(ii)</b>	<b>Address</b> <span style="float: right;"><i>(refer Note 2)</i></span>
	<b>(iii)</b>	<b>Permanent Account Number, as applicable</b>
<b>7.</b>	<b>Details of royalty agreement</b>	
	<b>(i)</b>	<b>Nature of royalty</b> <i>(select one)</i> <span style="float: right;">(a) transfer of all or any rights (including the granting of a license) in respect of the patent (b) imparting of any information concerning the working</span>

			of, or the use of, the patent			
			(c) use of the patent			
			(d) rendering of any services in connection with the activities referred to in sub-clauses (a) to (c)			
			(e) consideration for sale of products manufactured with the use of patented process or the patented article for commercial use			
	(ii)	Period for which the agreement is applicable	dd/mm/yyyy to dd/mm/yyyy			
	(iii)	Amount of royalty income payable for the tax year (in ₹)				
8	<b>Details of payment for royalty received</b>					
	(i)	In Indian rupees (in ₹)				
	(ii)	In foreign currency (value in ₹)	Total amount payable during the year	Amount actually paid	Date of payment	Mode of payment
	(iii)	Total Payment [(i) + (ii)]				
9.	<b>Amount brought into India in convertible foreign exchange</b>					
	(i)	within a period of six months from the end of the tax year				
	(ii)	within such further period as allowed by the Competent authority	Name of the competent authority	Further period allowed till (dd/mm/yyyy)	Amount	
10.	<b>Amount of deduction claimed</b>					
<<TO BE FILLED BY THE ASSESSEE>>						
Declaration						
I _____ (name), holding Permanent Account Number _____, hereby affirm that the details declared above are true to the best of my knowledge and belief and the income declared therein is respect of the aforementioned patent is entitled for deduction under section 152 of the Act.						
Signature of the assessee						
Name:						
Date :						
Place :						
<b>Part -C &lt;&lt;to be filled by the prescribed authority&gt;&gt;</b>						
<b>CERTIFICATION</b>						
Certified that details at row 3, 4 and 5 in respect of the patentee at row 1 above are correct and as per the register of patents maintained by this office.						
Signature of the Controller of Patents						
Date:						
Place:						
Name:						
Designation:						
Address:						

## Notes:

1. In case of individual, the first, middle and last name shall be provided in full without any abbreviations. In any other case also, the name shall be provided in full.
2. The address shall contain (i) Country/Region, (ii) Flat/Door/Building, (iii) Road/Street/ Block/Sector, (iv) PIN/ZIP Code, (v) Post Office, (vi) Area/locality, (vii) District and (viii) State.
3. For definition of “author”, “book” and “lump sum”, refer to clauses (a), (b) and (c) respectively of section 151(8) of the Act.
4. Amounts to be filled in ₹ unless otherwise provided.